# **Electronic Raman scattering in magnetite: Spin versus charge gap**

L. V. Gasparov and A. Rush

*Department of Physics, University of North Florida, 1 UNF Drive, Jacksonville, Florida 32224, USA*



*2 Physikalisches Institut, RWTH-Aachen, 52056 Aachen, Germany*

H. Berger

*EPFL, CH-1015 Lausanne, Switzerland*

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We report Raman-scattering data of single crystals of magnetite  $(Fe_3O_4)$  with Verwey transition temperatures  $(T_v)$  of 123 and 117 K, respectively. Both single crystals reveal broad electronic background extending up to 900 wave numbers  $(\sim 110 \text{ meV})$ . Redistribution of this background is observed when samples are cooled below *Tv*. In particular, spectra of the low-temperature phase show diminished background below 300 wave numbers followed by an enhancement of the electronic background between 300 and 400 wave numbers. To enhance the effect of this background redistribution, we divide the spectra just below the transition by the spectra just above the transition. A resultant broad peaklike feature is observed centered at  $370 \pm 40$  wave numbers  $(45 \pm 5 \text{ meV})$ . The peak position of this feature does not scale with the transition temperature. We discuss two alternative assignments of this feature to a spin or charge gap in magnetite.

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## **I. INTRODUCTION**

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Magnetite  $(Fe<sub>3</sub>O<sub>4</sub>)$  is a naturally occurring mineral which is of interest to remarkably different fields of science. It is the first magnetic material known to mankind and it is the earliest compound known to manifest a charge-ordering tran- sition discovered by Verwey<sup>1</sup> in 1939. Magnetite is also an integral part of many living organisms. For instance, magne- totactic bacteria<sup>2</sup> and pigeons<sup>3</sup> use it for navigation along the Earth's magnetic field. Furthermore, it was reported that magnetite occurs in human brains and may play a role in the pathogenesis of the neurodegenerative diseases such as Alzheimers[.4](#page-4-3) **31 21**

In condensed-matter physics, magnetite has recently at- tracted substantial attention<sup>5,[6](#page-4-5)</sup> because its charge carriers ex- hibit strong spin polarization at the Fermi level. This com- pound has the potential to become one of the leading materials for spintronics. This has initiated an interest in high-quality films of magnetite on a semiconductor substrate. Such films could form the core element of a ferromagnet- semiconductor device.<sup>6</sup> **32**

Magnetite has been extensively studied for more than 60 41 years, yet the physics of this compound is not completely 42 understood. Competition between electronic, lattice, and 43 magnetic degrees of freedom presents a substantial challenge 44 in describing physics of magnetite. Difficulty in successful **45** modeling of this iron oxide creates a nagging reminder for 46 the scientific community as it tries to tackle such many ele-47 ment compounds as high-temperature superconductors and 48 colossal magnetoresistance compounds. **40**

Verwey transition in magnetite still remains an unsolved **50** puzzle. At ambient pressure, the Verwey transition of pure or **51** near-stoichiometric magnetite is on the first order. This tran-**52** sition occurs at  $T_v \sim 123$  K, with changes in crystal struc-53 ture, latent heat, and a two-order-of-magnitude decrease in 54 dc conductivity. Oxygen deficiency or doping may reduce **49**

the transition temperature, may cause the transition to be-**55** come higher order, or may suppress it completely. There are **56** several competing models of the transition including Verwey **57** and Hayaaman's<sup>7</sup> original order-disorder transition theory, 58 Anderson's<sup>8</sup> long-range order (LRO)-short-range order 59 (SRO) model, Cullen and Callen's<sup>9</sup> theory based on pure 60 electron correlations, and polaron-based theory of the **61** transition[.10–](#page-4-9)[13](#page-4-10) However, none of these theories successfully **62** describe the whole body of experimental data. Recently **63** x-ray, neutron-, and electron-diffraction experiments have **64** cast considerable doubt on both Verwey and Hayaaman's **65** order-disorder model and Anderson's LRO-SRO model.<sup>14[,15](#page-4-12)</sup> 66

 $: 78.30 - j, 71.30 + h, 75.50.Bb$ 

Recent photoemission studies<sup>16[,17](#page-4-14)</sup> of magnetite indicate 67 that there is no closing of the electronic band gap at the **68** Verwey transition but rather shrinking of it by about 50 meV. **69** The latter led to the statement that the Verwey transition is **70** not actually metal to insulator transition but rather a semi-**71** conductor (or bad metal) to semiconductor transition.<sup>16</sup> In 72 this context, questions naturally arise about the nature and **73** value of the gap in this compound. **74**

Photoemission, with all its advantages, is a surface sensi-**75** tive technique[.16](#page-4-13) Depending on the incoming photon energy, **76** it probes somewhere between 15 and 45 Å. That corre-**77** sponds to not more than a dozen magnetite unit cells. In **78** contrast, the optical spectroscopy, such as Raman and infra-**79** red spectroscopy, probes to the depth of about 1000 Å. Fur-**80** thermore, the resolution of the optical methods is about one **81** order of magnitude better than that of photoemission. Table [I](#page-1-0) **82** summarizes available data for the gap between the upper **83** edge of single-particle density of states and chemical poten-**84** tial. Note that this gap corresponds to the half of the "opti-**85** cal" gap obtained from the infrared data **86**

The optical band gap detected in the infrared **87** experiment<sup>18,[19](#page-4-16)</sup> may have two origins. It could be the open- 88 ing of a charge gap but it can also be due to the decrease in **89** carrier mobility because of the polaron "condensation" at the **90**

TABLE I. The value of the gap obtained from the photoemission and optical measurements of magnetite.

<span id="page-1-0"></span>

a Reference [16.](#page-4-13)

b Reference [17.](#page-4-14)

c Reference [18.](#page-4-15)

d Reference [19.](#page-4-16)

**91** Verwey transition. Unfortunately, it is difficult to separate **92** these two processes.

Raman spectroscopy is a powerful technique capable of addressing the issue of the gap in the magnetite. Such a gap should be manifested in the electronic background of mag- netite's Raman spectrum as low intensity broad peaks. One could expect a diminished background at the frequencies smaller than the gap with subsequent increase in the scatter- ing. Similar behavior has been observed in Raman studies of 100 HTSC compounds.<sup>20</sup> Motivated by this fact, we looked for potential signatures of the gap opening in the Raman spectra of  $Fe<sub>3</sub>O<sub>4</sub>$ . **93**

In this paper, we present electronic Raman scattering data **104** obtained on two samples of magnetite grown by two differ-**105** ent groups. We believe that we observe a "gap" feature in our **106** spectra. We discuss the origins of this gap, particularly ad-**107** dressing two competing explanations. We conclude that this 108 gap feature we observe has magnetic origin. **103**

#### **II. EXPERIMENTAL DETAILS 109**

In this work, we used two magnetite crystals from two 111 different sources. The first crystal was grown by Berger and **112** co-workers<sup>19[,21](#page-4-18)</sup> in EPFL Lausanne. This was the same crystal 113 used for our previous measurements in magnetite. This crys-114 tal was grown by a chemical vapor transport technique using **115** stoichiometric  $Fe<sub>3</sub>O<sub>4</sub>$  microcrystalline powder obtained by **116** the reduction reaction of ferric oxide  $(Fe<sub>2</sub>O<sub>3</sub>)$ . This proce-117 dure yielded near-stoichiometric single crystals with typical **118** size of  $4 \times 4 \times 1$  mm<sup>3</sup>. X-ray diffraction confirmed the 119 spinel-like structure of the crystals. Transport measurements **120** detect drop of conductivity at  $T_v = 123$  K. **110**

The second crystal with  $T_V$ =117 K was provided by Dr. 122 Pimenov from the University of Wurzburg. This crystal was 123 originally grown by Brabers and crystals from the same 124 batch were used for the photoemission measurements of Ref. **125** [16.](#page-4-13) **121**

Raman measurements were carried out on freshly cleaved 127 surfaces of the as-grown single crystals. The 532 nm line of **128** a cw solid-state laser as well as 514 and 488 nm lines of an 129 Ar<sup>+</sup> ion laser were used as excitation with no more than 10 130 mW incident power on the sample, in order to avoid 131 oxidation.<sup>22</sup> Estimated overheating of the sample did not ex-**126**

ceed 13 K. Polarized Raman spectra were measured using a **132** Dilor XY modular triple spectrometer equipped with a liquid **133** nitrogen cooled charge-coupled device (CCD) detector. The 134 spectra were measured in nearly back scattering geometry. **135** The sample temperature was maintained in He-bath cryostat **136** over a range of 4.2–300 K. **137**

## **III. RESULTS AND DISCUSSION 138**

The effects of the Verwey transition on the Raman spectra **139** of magnetite have been addressed in a number of **140** publications[.19](#page-4-16)[,21](#page-4-18)[–27](#page-4-20) Below the Verwey transition magnetite **141** has a much bigger unit cell<sup>14</sup> that leads to a dramatic increase **142** in the number of phonon modes. In addition to the phonon **143** modes, magnetite displays a rather broad electronic back-**144** ground extending up to 900 cm−1. Zero-momentum elec-**145** tronic and magnetic excitations in magnetite are likely **146** source of this background. **147**

This paper focuses on the evolution of this background **148** above and below the transition. Figure [1](#page-2-0) displays a series of **149** unpolarized spectra of magnetite near the Verwey transition. **150** One can clearly see the enhancement of the low-frequency **151** background in the high-temperature phase. This effect is ob-**152** served for both samples of magnetite in Figs.  $1(a)$  $1(a)$  and  $1(b)$ . **153** To understand this enhancement, we need to note that the **154** Stokes Raman-scattering cross section is directly propor-**155** tional to the imaginary part of the Raman response function **156**  $\chi$  multiplied by the Bose factor, **157**

$$
\frac{d^2\sigma}{d\Omega d\omega} \propto \left\{ \frac{1}{1 - e^{-\hbar \omega / k_B T}} \right\} \chi''(\omega, T). \tag{1}
$$

<span id="page-1-1"></span>The imaginary part of the response function contains all the **159** relevant information about quasiparticle excitations in the **160** sample under investigation. The observed background en-**161** hancement in the high-temperature phase cannot be ac-**162** counted by the Bose-factor contribution alone since Eq. ([1](#page-1-1)) **163** would be virtually unchanged over the small temperature **164** shift at the Verwey transition. **165**

To understand the polarization dependence of this en-**166** hancement, we measured the polarized spectra of magnetite. **167** Figures [2](#page-2-1) and [3](#page-2-2) display Raman data in the xx polarization. In **168** both figures, we display the Raman response function ob-**169** tained by dividing corresponding spectra by the Bose factor. **170** Overheating of 13 K was taken into account. Spectrum be-**171** low the Verwey transition (solid line) demonstrates redistri- 172 bution of the electronic background as compared to the spec-**173** trum above the transition (dotted line). There is an **174** enhancement of the background above 300 wave numbers **175** and clear depletion of the background below 400 wave num-**176** bers. **177**

To underline the redistribution of the background, one can **178** look at the frequency range between 400 and 500 wave num-**179** bers. This spectral range has a single phonon mode at **180** 470 cm−1 that is absent above the Verwey transition. For this **181** frequency range, both Figs. [2](#page-2-1) and [3](#page-2-2) indicate that the back-**182** ground below transition is substantially smaller than that **183** above the transition. All this in spite of the fact that addi-**184** tional phonon mode appears in this spectral range below the **185** transition temperature. So even though there is added spec-**186**

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FIG. 1. Unpolarized Raman spectra of magnetite in the XX geometry near Verwey transition in the  $(a)$  123 K and  $(b)$  117 K samples. Spectra are taken with temperature increments of 4 K. Dashed line indicates the spectra above the Verwey transition and solid line indicates the spectra below the Verwey transition. Estimated overheating was around 13 K. Note new phonon modes below the transition and strong redistribution of the electronic background as sample undergoes the transition.

187 tral weight due to the phonon mode, the background remains 188 diminished compared to the above transition spectrum. We **189** believe that such behavior is consistent with the opening of 190 the gap below 375 wave numbers.

In order to get a better sense of the background redistri- bution, we divide the response function below the transition temperature by that above the transition. The net result is a 194 peaklike feature with the maximum around 350 wave num- bers (insets of Figs. [2](#page-2-1) and [3](#page-2-2)). We assign the peak position of 196 that feature to the value of the gap. Clearly, strong phonon modes in the low-temperature phase do not allow for the precise determination of the gap value; however we believe 199 that the manifestation of the gap opening is evident. Varying the excitation line frequency of our Ar<sup>+</sup> laser did not signifi- cantly reduce the phonon mode intensities. Our estimate of the uncertainty of this gap value is 40 wave numbers ( $\sim$  5 meV). This feature is observed only in the XX polar- ization. Corresponding XY spectra did not display any en- hancement of the background. **191**

One observes a number of new phonon modes in the low-**207** temperature phase. It is interesting to note that the modes **206**

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FIG. 2. Polarized (XX geometry) Raman spectra of magnetite with  $T<sub>v</sub>=123$  K. Shown are spectra above the transition at 125 K  $(dashed line)$  and below the transition at 50 K (solid line). Inset displays the ratio of the 50 K spectrum to that above the transition at 125 K. We assign a broad peaklike feature around 350 wave numbers to the opening of a gap. Horizontal dotted line at the spectra ratio equals to one is a guide for the eyes to appreciate the redistribution of the background.

below 300 cm−1 have smaller linewidth compared to the **208** modes above this frequency (Fig. [4](#page-3-0)). This could be an addi- **209** tional indication of strong interaction of the phonon modes **210** with the opening of the gap **211**

The value of the gap obtained from our experiment is at **212** odds with that from the infrared data. One can make the **213** following suggestions to reconcile these differences. (i) The **214** 

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FIG. 3. Polarized (XX geometry) Raman spectra of magnetite with  $T<sub>v</sub>=117$  K. Shown are spectra above the transition at 125 K  $(dashed line)$  and below the transition at 50 K (solid line). Inset displays the ratio of the 50 K spectrum to that above the transition at 125 K. We assign a broad peaklike feature around 350 wave numbers to the opening of a gap. Horizontal dotted line at the spectra ratio equals to one is a guide for the eyes to appreciate the redistribution of the background.

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FIG. 4. The linewidth of the phonon modes in the 123 K (open diamonds) and 117 K (open circles) samples as a function of their frequency as measured at 50 K (below the Verwey transition). The linewidth was obtained from the fit to the Lorentzian line shape. Dotted line is a guide for the eyes. Strong increase in the linewidth for the phonon modes above  $370 \text{ cm}^{-1}$  is evident. The increase is observed in both magnetite samples.

 infrared gap<sup>18,[19](#page-4-16)</sup> value may be affected by the increase in carrier mobility associated with the polaron "condensation" at the Verwey transition; (ii) the infrared gap is averaged over whole Brillouin zone (BZ) whereas Raman scattering displays a *k*-dependent charge gap. Photoemission data do indicate strong dependence of the photoemission gap on the crystallographic direction.<sup>16</sup>

Having made these statements, we recognize that differ- ences between the values of the Raman and photoemission/ir gap are nearly a factor of 2 which may be too much to be accounted by the potential anisotropy of the charge gap. Therefore, an alternative explanation of our data is in order. Such explanation comes from the recent neutron-**[28](#page-4-21)** scattering experiments of McQueeney *et al.*<sup>28</sup> In this work, several acoustic and optical spin-wave modes (magnons) were studied. Some of these magnetic excitations have been originally measured in the seminal neutron-scattering experi- ment of Brockhouse,<sup>29</sup> however never detected by optical 233 measurements. **222 227**

McQueeney's neutron data<sup>28</sup> indicated one particular acoustic magnon that is very sensitive to the Verwey transi- tion. Above transition, neutron scattering detects this magnon as a peak at  $(4,0, -1/2)$  reciprocal space extending from 30 to 50 meV (roughly 240–500 wave numbers). The magnon peak itself demonstrates isotropic dispersion around the (004) Brillouin-zone center.<sup>28</sup> Below the Verwey transition, this peak splits into two peaks. The latter has been inter- preted as an opening of a gap in the acoustic magnon branch. In the reciprocal space, the gap is localized at  $\pm 0.1 - 0.2$  reciprocal-lattice units<sup>28</sup> along  $(0.0,1)$  direction. This gap is opening at 43 meV at the wave vector  $\mathbf{q} = (0, 0, \frac{1}{2})$ . This value of the "spin-wave gap" is in excellent agreement with our data. **234**

Raman scattering probes zone-center excitations in solids. **248** Acoustic magnon has zero energy at zero momentum and **249** therefore it cannot be detected through the conventional Ra-**250** man process. However, two-magnon scattering may alleviate **251** this restriction. The mechanism of this two-magnon scatter-**252** ing assumes the interaction of two magnons with opposite *q* **253** vectors. Combined excitation will therefore have zero net **254** momentum but nonzero energy. Such excitation is averaged **255** over Brillouin zone and can be probed by the appropriate **256** Raman vertex. Based on this assumption, we would expect **257** two-magnon Raman scattering to manifest itself as a broad **258** peak[.30](#page-4-23)[,31](#page-4-24) Furthermore, when the gap develops in the magnon **259** branch we would expect a drop in the intensity of these two-**260** magnon peak beyond the energy of the gap. XX Raman ver-**261** tex probes over whole BZ. Furthermore, the location of the **262** gap in the magnon branch is consistent with this geometry. **263** Since gap develops at substantially lower temperature, we **264** can also expect narrowing of the two-magnon peak. **265**

In addition to magnetic excitations, one can expect elec-**266** tronic excitations to play important role in the magnetite. In **267** general one should see electronic excitations associated with **268** carriers. The scattering rate<sup>32[,33](#page-4-26)</sup> is related to the slope of the  $269$ imaginary part of the Raman response function  $\frac{\partial x^{\prime\prime}}{\partial \omega}$  in the **270** limit of  $\omega \rightarrow 0$ . The smaller the slope, the shorter is the life- **271** time  $\tau$  and the larger is the scattering rate  $\Gamma = 1/\tau$ . Resistivity **272** is directly proportional to the scattering rate. When magne-**273** tite undergoes the Verwey transition, its resistivity increases **274** by about two orders of magnitude. The latter means that the **275** carrier scattering rate should exhibit a jump at the transition. **276** That means that the low-temperature spectrum of magnetite **277** should display low-frequency scattering characterized by **278** substantially flatter slope. That is indeed the case in our **279** experiment. **280**

This "spin gap" may be associated with the optical pho-**281** non mode crossing the acoustic magnon branch. McQueeney **282** *et al.*[28](#page-4-21) indicated possible flattening of the magnon branch at **283** the opening of the gap. Such flattening may create a peaklike **284** singularity in Raman data, as we observe in our data. **285**

Additional evidence for the spin gap nature of the ob-**286** served Raman effects may come from the phonon linewidths **287** displayed in Fig. [4.](#page-3-0) Below the transition in both samples, the **288** phonon modes become substantially broader above **289** 370–380 cm−1. This is likely an indication of strong cou-**290** pling between magnetic and lattice excitations (hence, XX 291 polarization of the "spin gap" effects<sup>34</sup>), providing additional 292 evidence of the spin gap. One should keep in mind that most **293** of these modes are associated with the same Fe-O tetrahe-**294** dron; therefore the increase may not be interpreted as merely **295** different groups of ions that are somehow more affected by **296** the disorder. Increase in the linewidth is likely an indicator of **297** additional decay channel for the phonons. **298**

The XX geometry above the transition displays just three **299** phonon modes (Figs. [1](#page-2-0) and [2](#page-2-1)). These modes are centered at **300** 3[1](#page-2-0)0, 545, and 670 cm<sup>-1</sup> (Figs. 1 and [2](#page-2-1)). The widths of the **301** modes at 150 K are 60, 29, and 63 wave numbers, respec-**302** tively. Clearly these numbers do not follow the low-**303** temperature trend displayed in Fig. [4.](#page-3-0) Only below the tran-**304** sition we observe a broadening of the modes centered above **305** 370 wave numbers. This clearly dovetails the idea of the spin **306** gap opening effect on the phonon modes width. It is there-**307**  fore plausible to assign the 45 meV feature we observed in the Raman spectrum to the "spin-wave gap." This assign- ment, however reasonable, assumes that the charge gap is absent in Raman. This is probably due to small matrix ele- ments for these particular excitations. We are not aware of any theoretical work addressing the issue of Raman tensors and the charge gap in magnetite. Our result points out the need for such calculations. Further experiments with Fe ions substituted by nonmagnetic ions will shed more light on to this issue.

## **IV. CONCLUSION 318**

In conclusion, we present the Raman measurements of the **320** electronic background in magnetite. Comparison of the spec-**319**

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tra above and below the Verwey transition yields the gap **321** value of the order of  $45 \pm 5$  meV, which is in excellent **322** agreement with recent neutron-scattering data on the spin-**323** wave gap at 43 meV. **324**

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